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STEREOSELECTIVE SYNTHESIS OF 11Z-RETINAL BY USE OF TRICARBONYLIRON COMPLEX

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Abstract: Peterson reaction of 7E,9E-β-ionylideneacetaldehyde-tricarbonyliron complex with ethyl trimethylsilyl acetate afforded Z-olefin in high stereoselectivity, which was converted to the corresponding 11Z-retinal in excellent yield. Copyright © 1996 Elsevier Science Ltd

It is well known that retinoids 1 exhibit the different biological activities depending upon their stereochemistry. For example, the chromophore of the visual pigment rhodopsin is 11Z-retinal 2^{1,2} and the ligands of RAR and RXR, which are nuclear regulators to control gene transcription, are all-E- and 9Z-retinoic acids, respectively.^{1,3} Although there were a number of papers on dealing with the synthesis of retinoids, ¹ only a few of the stereoselective synthesis has been reported.⁴ In connection with our study on the stereoselective synthesis of retinoids and carotenoids, ⁵ we wish to describe here the first stereoselective synthesis of 11Z-retinal by Peterson reaction from the β-ionylideneacetaldehyde-tricarbonyliron complex.

1a: R=CH₂OH Retinol b: R=CHO Retinal c: R=CO₂H Retinoic acid

2

Treatment of the β -ionylideneacetaldehyde-tricarbonyliron complex 3a, 5 prepared from the reaction of β -ionone-tricarbonyliron complex with lithium acetonitrile followed by DIBAL reduction, with lithium enolate of ethyl trimethylsilylacetate in THF at -70°C afforded the 11Z-isomer 4a (X= CO_2Et)^{6,7} predominantly (77%) accompanied by 11E-isomer 5a (X= CO_2Et)^{6,7} (15%). The

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geometry of the newly produced double bond at the 11 position in 4a and 5a was determined from the coupling constant in their NMR spectra. Similarly, in the reaction of trimethylsilylacetonitrile the 11Z-nitrile 4a (X=CN) 6 was obtained as a major product in addition to the 11E-isomer 5a (X=CN) 6 and trimethylsilylnitrile 6^6 (16%), which was seemed to be produced by dehydration from the reaction intermediate. In order to certain the generality of this Z-selectivity, we prepared β -ionylideneacetaldehyde-tricarbonyliron complex derivatives (3b-d) 8 and their Peterson reaction was carried out (Table). In the case of 9-demethyl derivative, Z-selectivity was decreased dramatically (run 5). The bulky 9-substituent group caused slightly the decrease of yield, however, Z-selectivity was not affected seriously. In contrast to these results, E-selectivity was observed in the reaction of uncomplexed β -ionylideneacetaldehyde of 3a with ethyl trimethylsilylacetate (E-isomer, 60%: Z-isomer, 32%).

Table. Peterson Reaction of Aldehyde-tricarbonyliron Complexes.

Runs	Aldehydes R	х	Yield of 4 (%)	Yield of 5 (%)
1	CH ₃	CO ₂ CH ₂ CH ₃	77	15
2	СН3	CN	59	19
3	CH ₂ CH ₃	CO ₂ CH ₂ CH ₃	63	17
4	CH(CH ₃) ₂	CO ₂ CH ₂ CH ₃	56	13
5	Н	CO ₂ CH ₂ CH ₃	51	43

The mechanism of this highly Z-selective Peterson reaction is not clear yet. However, the above facts suggest that both tricarbonyliron complexation and the 9-substituent group are essential

for this selectivity. Among the six possible transition states, 9 transition states 7a and 7b may be favorable due to the consideration of steric repulsion between the 9-alkyl group and the substituents on the enolate. In these two transition states, 7b has a serious interaction between the trimethylsilyl group and the diene-ticarbonyliron complex compared with that of 7a. Therefore, the transition state 7a was preferred to afford the Z-olefin via syn elimination from the β -hydroxysilyl adduct.

The 11Z-ester 4a was transformed to the C18-ketone-tricarbonyliron complex $8^{6,10}$ using triphenylstannylmethyllithium 11 in excellent yield. The Emmons-Horner reaction of 8 with diisopropyl cyanomethylphosphonate gave the nitrile $9^{6,10}$ as a sole product, which was converted to the 11Z-retinal 2^{12} by the sequence of decomplexation and DIBAL reduction. The stereochemistry of an 11 position was unchanged during these transformations.

$$4a \xrightarrow{a} (79\%) \xrightarrow{Fe} (84\%) \xrightarrow{NC} (84\%) \xrightarrow{Fe} (CO)_3 (84\%) \xrightarrow{Fe} (CO)_3 (72\%) \xrightarrow{(72\%)} (72\%) \xrightarrow{(72\%)} CHO$$

Reagents: (a) Ph₃SnCH₂I, n-BuLi; (b) (i-PrO)₂P(O)CH₂CN, NaH; (c) CuCl₂; (d) DIBAL

In summary, we developed the new method for the stereoselective Z-olefin synthesis using the Peterson reaction of tricarbonyliron complex and also achieved the stereoselective synthesis of 11Z-retinal 2 for the first time applying this methodology. This method would provide the novel route for the preparation of stereoselective vitamin A and related compounds.

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References and Notes

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- 4. Although several methods for the formation of 11Z-olefin have been reported, the low yield and the low stereoselectivity are disadvantages that remain to be solved, see; Hosoda, A.; Taguchi, T.; Kobayashi, Y. Tetrahedron Lett., 1987, 28, 65-68; Mead, D.; Asato, A. E.; Denny, M.; Liu, R. S. H.; Hanzawa, Y., Taguchi, T.; Yamada, A.; Kobayashi, N.; Hosoda, A.; Kobayashi, Y. Tetrahedron Lett., 1987, 28, 259-262; Trehan, A.; Liu, R. S. H. Tetrahedron Lett., 1988, 29, 419-422.
- 5. Wada, A.; Hiraishi, S.; Ito, M. Chem. Pharm. Bull., 1994, 42, 757-759.
- 6. Satisfactory ¹H-NMR, IR and MS spectral data were obtained.
- 7. ¹H-NMR data for compounds **4** and **5** are as follows: For **4a**: (300 MHz, CDCl₃) δ 1.17 (3H, s, 1- Me), 1.28 (3H, s, 1-Me), 1.29 (3H, t, *J*=7, Me), 1.4 -1.7 (4H, m, 2,3-H₂), 1.86 (3H, s, 5-Me), 2.01 (2H, t, *J*=7, 4-H₂), 2.34 (1H, d *J*=11, 7-H), 2.36 (3H, s, 9-Me), 3.36 (1H, d, *J*=11, 10-H), 4.18 (2H, q, *J*=7, CH₂), 5.50 (1H, d, *J*=11, 8-H), 5,70 (1H, d, *J*=11, 12-H), 6.39 (1H, t, *J*=11, 11-H); For **5a**: (300 MHz, CDCl₃) δ 1.15 (3H, s, 1- Me), 1.26 (3H, s, 1-Me), 1.29 (3H, t, *J*=7, Me), 1.4 -1.6 (4H, m, 2,3-H₂), 1.56 (1H, d, *J*=11, 10-H), 1.81 (3H, s, 5-Me), 2.01 (2H, t, *J*=7, 4-H₂), 2.11 (1H, d, *J*=11, 7-H), 2.40 (3H, s, 9-Me), 4.19 (2H, q, *J*=7, OCH₂), 5.72 (1H, d, *J*=11, 8-H), 5.98 (1H, d, *J*=15, 12-H), 7.09 (1H, dd, *J*=15,11, 11-H).
- These compounds were prepared from β-ionone-tricarbonyliron complex analogs (iii) in the same manner described for 3a and the analogs (iii) were obtained from dienylaldehydetricarbonyliron complex (i) by Grignard reaction followed by oxidation using diazocarbonyldipiperidine without decomplexation. For oxidation, see; Saigo, K.; Morikawa, A.; Mukaiyama, T. Bull. Chem. Soc. Jpn., 1976, 49, 1656-1658.

$$(i) \xrightarrow{Fe} \xrightarrow{RMgBr} \begin{bmatrix} R \\ OMgBr \\ (CO)_3 \end{bmatrix} \xrightarrow{RMgBr} \begin{bmatrix} R \\ Fe \\ (CO)_3 \end{bmatrix}$$

- A similar mechanistic consideration of metalcarbonyl complex has already been reported;
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- 10. ¹H-NMR data for compounds **8** and **9** are as follows, For **8**: (300 MHz, C₆D₆) δ 1.21 (3H, s, 1-Me), 1.33 (3H, s, 1-Me), 1.3-1.5 (4H, m, 2,3-H₂), 1.80 (2H, t, *J*=6.5, 4-H₂), 1.83 (6H, s, 5,13-Me), 1.90 (3H, s, 9-Me), 2.59 (1H, d, *J*=11, 7-H), 3.80 (1H, d, *J*=11, 10-H), 5.53 (1H, *J*=11, 8-H), 5.60 (1H, d, *J*=11, 12-H), 6.05 (1H, t, *J*=11, 11-H); For **9**: (300 MHz, C₆D₆) δ 1.16 (3H, s, 1-Me), 1.27 (3H, s, 1-Me), 1.3-1.5 (4H, m, 2,3-H₂), 1.68 (3H, s, 5-Me), 1.74-1.82 (2H, m, 4-H₂), 1.88 (3H, s, 13-Me), 1.95 (3H, s, 9-Me), 2.02 (1H, d, *J*=11, 7-H), 4.82 (1H, s, 14-H), 5.28 (1H, d, *J*=12, 12-H), 5.51 (1H, d, *J*=11, 8-H), 5.58 (1H, br t, *J*=12, 11-H).
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